

## OPTIONS ACCOUNT APPLICATION form

**Please use this form when you wish to:**

- add options trading to your existing trading account

**In order to process your application we will need:**

- your completed application form

### IMPORTANT

If the account is to be opened in a Company Name, please supply the Director(s) personal information in Sections A & B.

If the account is a Trust Account, please supply the Trustee(s) personal information in Sections A & B.

**Thank you for choosing St.George Directshares.**

If you require assistance when completing this form, please contact our Directshares team on **1300 133 500**.

Please email your completed and signed form to **forms@directshares.com.au**

**Current Account (if applicable)**

Client Account Number:

**Section A – Account Holder 1 / Director 1 / Trustee 1**

ALL FIELDS ARE MANDATORY.

Mr  Mrs  Miss  Ms  Dr  Other (please specify) \_\_\_\_\_

Surname \_\_\_\_\_ Given Name(s) \_\_\_\_\_

Residential Address (PO Box not allowed) \_\_\_\_\_

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Postal Address \_\_\_\_\_

Same as above

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Contact Numbers Mobile (recommended) \_\_\_\_\_ Home \_\_\_\_\_

Work \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_

To comply with the ASIC Market Integrity Rules the first email address must be that of the account holder.

**If this account has multiple Trustees/Directors, trade confirmations will be sent to the Postal Address for Account Holder 1.**

Date of Birth \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Occupation \_\_\_\_\_

If this account has an individual Account Holder/Director/Trustee proceed to **SECTION C >**

If this account has multiple Account Holders/Directors/Trustees proceed to **SECTION B >**

**Section B – Account Holder 2 / Director 2 / Trustee 2**

ALL FIELDS ARE MANDATORY.

Mr  Mrs  Miss  Ms  Dr  Other (please specify) \_\_\_\_\_

Surname \_\_\_\_\_ Given Name(s) \_\_\_\_\_

Residential Address (PO Box not allowed) \_\_\_\_\_

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Postal Address \_\_\_\_\_

Same as above

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Contact Numbers Mobile (recommended) \_\_\_\_\_ Home \_\_\_\_\_

Work \_\_\_\_\_ Fax \_\_\_\_\_

Email \_\_\_\_\_

To comply with the ASIC Market Integrity Rules the first email address must be that of the account holder.

**If this account has multiple Trustees/Directors, trade confirmations will be sent to the Postal Address for Account Holder 1.**

Date of Birth \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Occupation \_\_\_\_\_

Proceed to **SECTION C >**

### Section C – Margin Lender

This section is applicable for all Margin Lending Linked Accounts.

Please supply the details of your Account with your Margin Lender.

Name of Margin Lender: \_\_\_\_\_

Account Number  
(with Margin Lender): \_\_\_\_\_

Proceed to **SECTION D** >

### Section D – Company Account

**This section is applicable for Company Accounts and Trust Accounts where a Company is acting as Trustee.**

Please ensure the Director(s) details are supplied in Sections A and B.

Company Name \_\_\_\_\_

Company Address \_\_\_\_\_

Suburb/Town \_\_\_\_\_ State \_\_\_\_\_ Postcode \_\_\_\_\_

Your ACN is required to perform an ASIC check on the company to verify the Company Name and the Directors.

ACN    -    -

If this account is not a Trust Account proceed to **SECTION F** >

If this account is a Trust Account proceed to **SECTION E** >

### Section E – Account Designation

**This section is applicable for Trust Accounts. Please nominate the name of the Trust.**

If you are opening a Superannuation fund account, you would nominate the name of the superannuation fund here.

For example 'WEST SUPER FUND A/C'.

If you are opening the account for a minor, you nominate the name of the minor in this section.

For example 'EDGAR WEST A/C'.

If you are opening the account for any other registered Trust, please nominate the name of that Trust in this section.

For example 'WEST FAMILY A/C'.

**Please note that CHES regulations state that the word 'Trust' is not included in the Designation.**

The designation must not be greater than 24 characters and must end in 'A/C' (Account).

If necessary, you may use abbreviations.

<                           A/C >

Proceed to **SECTION F** >

**Section F – Client Agreement & Declaration**

**This Section is mandatory for all account holders.**

Before you complete this part of the Application Form, ensure you have received and read the St.George Directshares Exchange Traded Options Product Disclosure Statement (PDS) and the ASX explanatory booklet, 'Understanding Options Trading'. The PDS can be accessed via our website and the ASX explanatory booklet 'Understanding Options Trading' can be accessed at [asx.com.au](http://asx.com.au), or contact us and we will send them to you.

By signing below you confirm you have received, read and understood, and agree to be bound by the PDS, the St.George Directshares Financial Services Guide and St.George Directshares Terms and Conditions.

You agree to:

- (a) authorise the Broker to reserve the financial products in the ASX Clear Subposition so that the financial products come under the control of ASX Clear and are subject to the security interest granted in favour of ASX Clear to secure performance by the relevant Clearing Participant of its obligations to ASX Clear under and in accordance with the ASX Clear Operating Rules;
- (b) authorise any subsequent dealing (including, without limitation, any transfer) of the reserved Financial Products in accordance with the Rules and ASX Clear Operating Rules;
- (c) acknowledge that the financial products will remain subject to that security interest for so long as those financial products remain reserved in the ASX Clear Subposition in accordance with ASX Clear Operating Rules; and
- (d) authorise the Participant to take whatever other action is reasonably required by ASX Clear in accordance with the ASX Clear Rules and ASX Settlement Rules to give effect to that arrangement.

---

	<b>Account Holder 1</b>	<b>Account Holder 2</b>
Client Signature(s)	_____	_____
Name(s) (printed)	_____	_____
Date	/ / 20	/ / 20