

## TRANSFER CHESS HOLDINGS form

If you have CHESS Sponsored holdings with another broker and would like to transfer them to St.George Directshares, please complete this form and return this with a copy of your certified ID for signature verification.

### Certified documentation

In order for your certification to be accepted, it must be signed and dated (within the last three months) and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall.

### For a full list of acceptable certifiers refer to the list below:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described);
2. a judge of a court;
3. a magistrate;
4. a chief executive officer of a Commonwealth court;
5. a registrar or deputy registrar of a court;
6. a Justice of the Peace;
7. a notary public (for the purposes of the Statutory Declaration Regulations 1993);
8. a person in a foreign country who is authorised by law in that jurisdiction to administer oaths or affirmations or to authenticate documents;
9. a police officer;
10. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
11. a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
12. an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
13. an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
14. a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993)
15. an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees.
16. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

### A copy of one of the following documents will be accepted once certified:

- **Driver's licence** (front and back) – MUST be current
- **Australian passport** – current or expired within the past TWO years
- **Overseas passport** – MUST be current and show the signature page

### Section A – Existing Sponsoring Broker Details

In order to transfer your holdings from your existing broker we require the following information:

Name of Existing Broker \_\_\_\_\_

HIN with Existing Broker

Proceed to **Section B** >

## Section B – Holdings to be Transferred

Select ONE of the below holdings transfer options:

**HIN and All Holdings**

If 'HIN and All Holdings' is selected, your HIN will be transferred from your existing broker as long as you do not have an active HIN with CMC Markets Stockbroking.

ASX Code

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**Selected Holdings** (please list)

If you transfer selected holdings only, the company whose securities you are transferring will treat you as a new holder. It may be necessary to re-lodge your current instructions such as DRP or banking details with the share registries.

Security Name	Quantity
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

Proceed to **Section C** >

## Section C – Current Account Details

When completing the following section, please ensure this matches your existing sponsorship details.

Client Account Number:

Account Holder 1  
(or Company name) 

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Account Holder 2  
(if applicable) 

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Account Designation < (if applicable) 

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 Account >

ACN  
(if Company) 

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Residential Address  
(PO Box not allowed) 

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Suburb/Town 

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 State 

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 Postcode 

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Postal Address  
 Same as above 

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Suburb/Town 

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 State 

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 Postcode 

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Proceed to **Section D** >

## Section D – Client Agreement

Date: 

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Account Holder / Director / Trustee 1

Account Holder / Director / Trustee 2

Client Signature(s) 

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Name(s) (printed) 

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Title (if company) 

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 e.g. director, officer, secretary 

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